

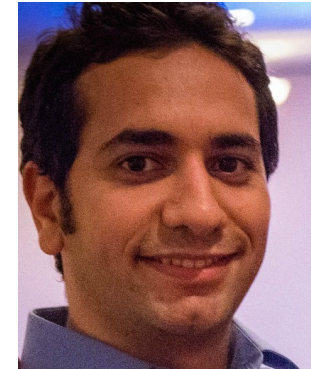
# Millimeter Wave V2V Beam Tracking using Radar: Algorithms and Real-World Demonstration



**Hao Luo**  
h.luo@asu.edu



**Umut Demirhan**  
udemirhan@asu.edu



**Ahmed Alkhateeb**  
alkhateeb@asu.edu

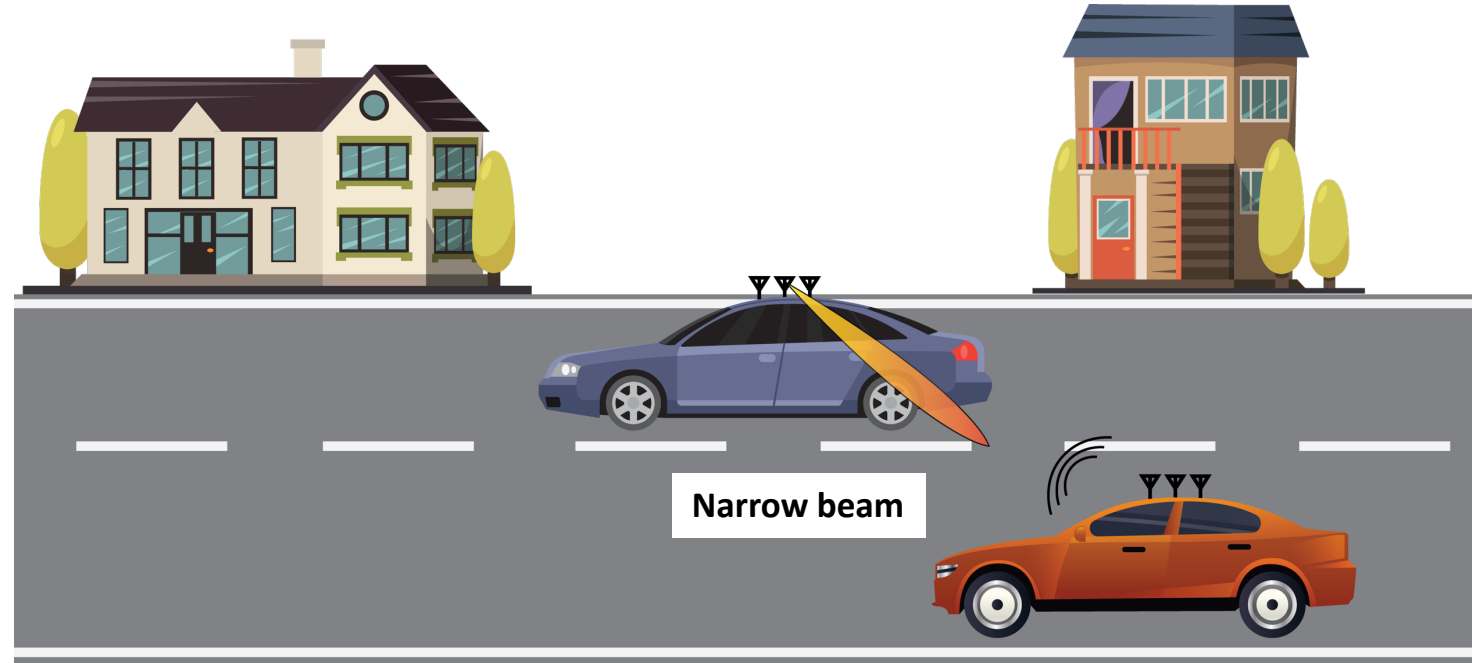
Wireless Intelligence Lab - Arizona State University

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# Challenges with vehicle-to-vehicle (V2V) communications

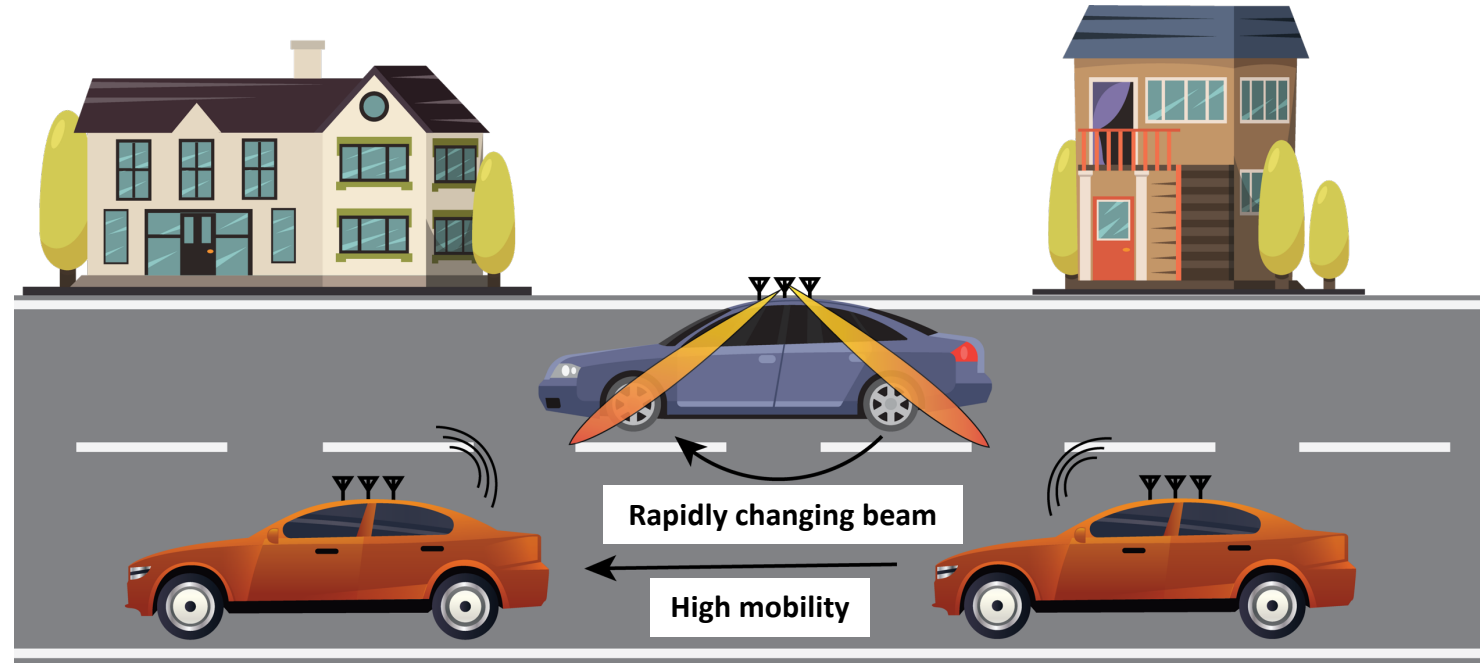
- ▶ Envisioned V2V communications
  - Sensor-supported safety applications
  - Demand high data rate
- ▶ mmWave and THz communications
  - High data transfer speeds
  - Large antenna array and narrow beam
  - Accurate narrow beam alignment



**Finding the optimal narrow beam results in a large training overhead**

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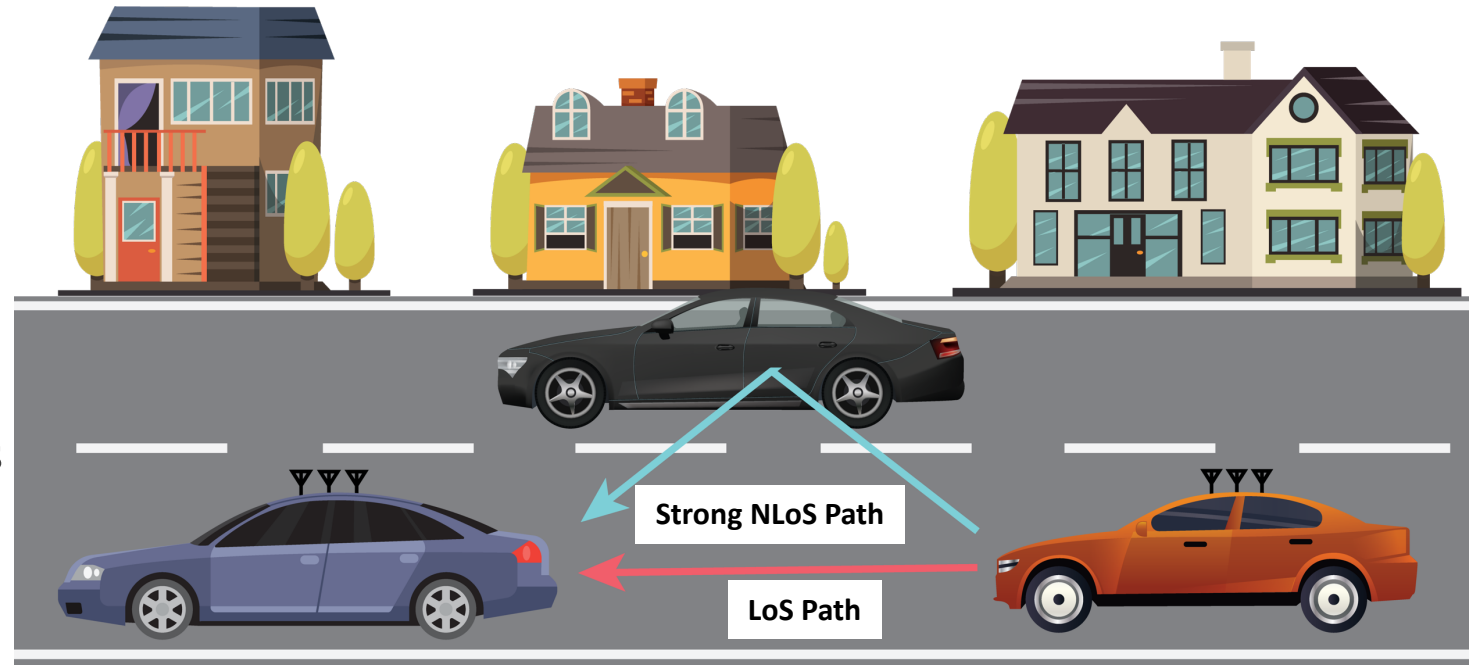


Finding the optimal narrow beam results in a large training overhead

It is challenging to support **highly-mobile vehicular scenarios**

# Key idea: Radar-aided beam tracking

- ▶ Channels are the functions of
  - Geometry of the environment
  - Position/direction of the Tx/Rx
- ▶ Multi-modal vehicular sensors
  - Already available for other applications
  - Example: **Automotive radar sensors**



Can we use **radar sensing for beam tracking** in V2V scenarios?

Can the developed solutions perform well in the **real world**?

# System model

▶ A transmitter vehicle with a single antenna

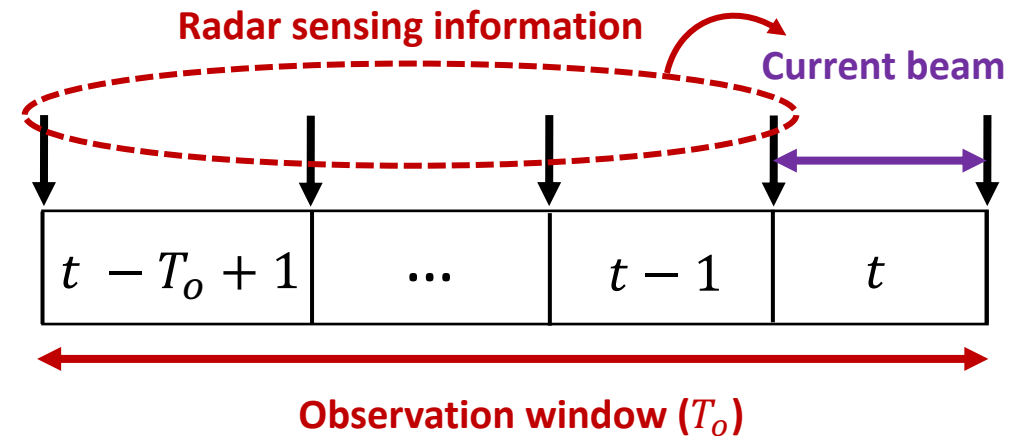
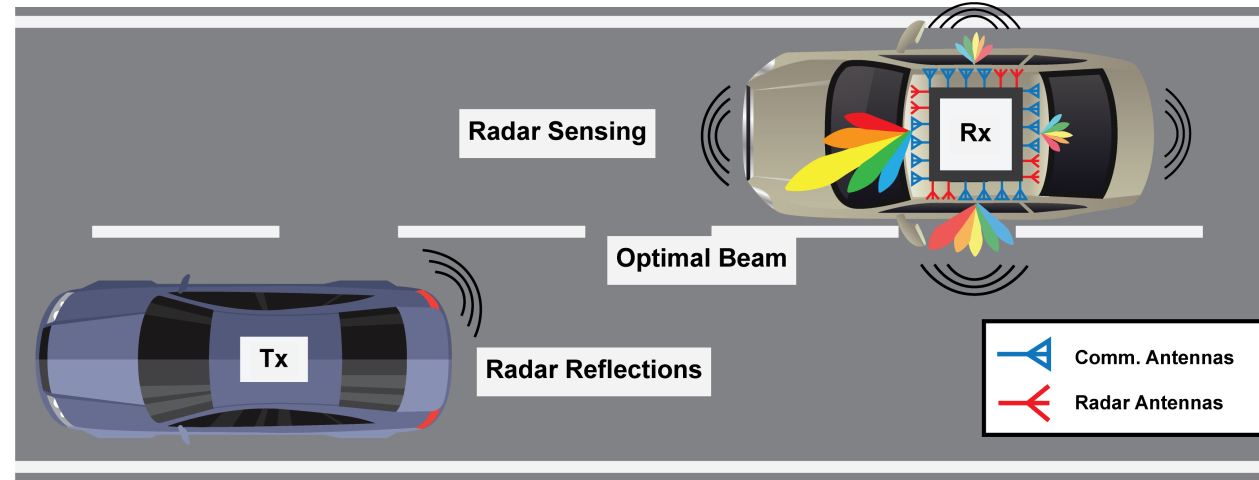
▶ A receiver vehicle

- A set of linear mmWave antenna arrays
- A set of off-the-shelf FMCW radars
- Cover the the whole circular directions

$$d \in \{front, right, back, left\}$$

▶ Radar-aided mmWave beam tracking

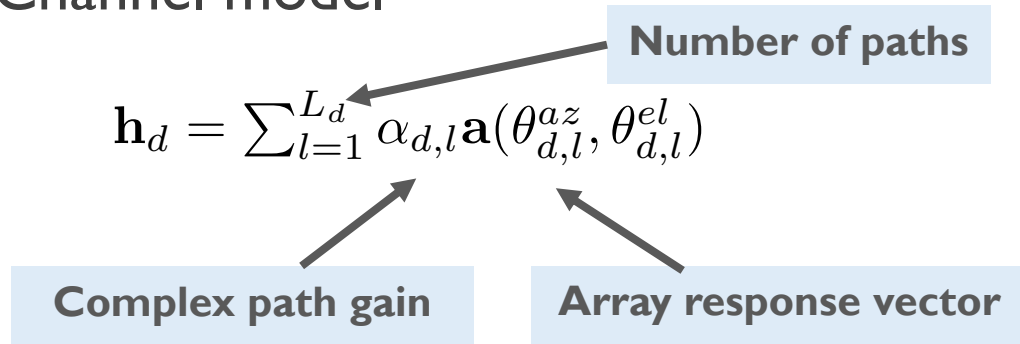
1. Observe a sequence of radar measurements
2. Predict the optimal beam



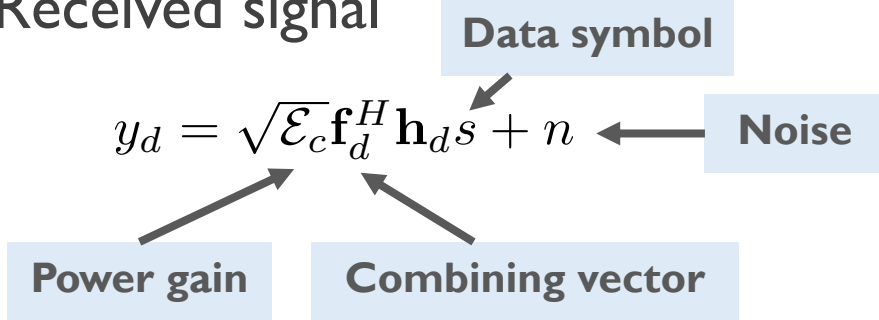
# System model

## Communication model

### Channel model

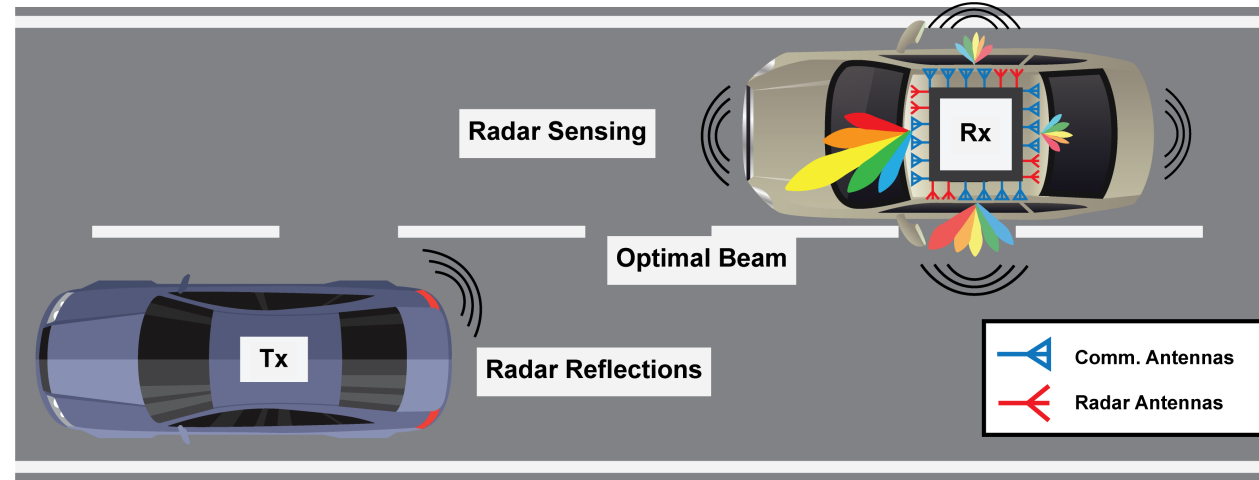


### Received signal



$$\mathcal{F}_d = \{\mathbf{f}_{d,1}, \dots, \mathbf{f}_{d,B}\}$$

Pre-defined codebook



### Optimal direction and beam index

$$\max_{d,b} |\mathbf{f}_{d,b}^H \mathbf{h}_d|^2$$

s.t.  $d \in \{\text{front, right, back, left}\},$   
 $b \in \{1, \dots, B\}.$

# System model

## Radar model

### Chirp signal

$$s_{\text{chirp}}^{\text{tx}}(t) = \begin{cases} \sin\left(2\pi\left[f_0 t + \frac{S}{2}t^2\right]\right) & \text{if } 0 \leq t \leq T_{\text{active}} \\ 0 & \text{otherwise} \end{cases}$$

### Transmit signal (Radar frame)

$$s_{\text{frame}}^{\text{tx}}(t) = \sqrt{\mathcal{E}_t} \sum_{c=0}^{M_{\text{chirp}}-1} s_{\text{chirp}}^{\text{tx}}(t - c \cdot T_{\text{PRI}})$$

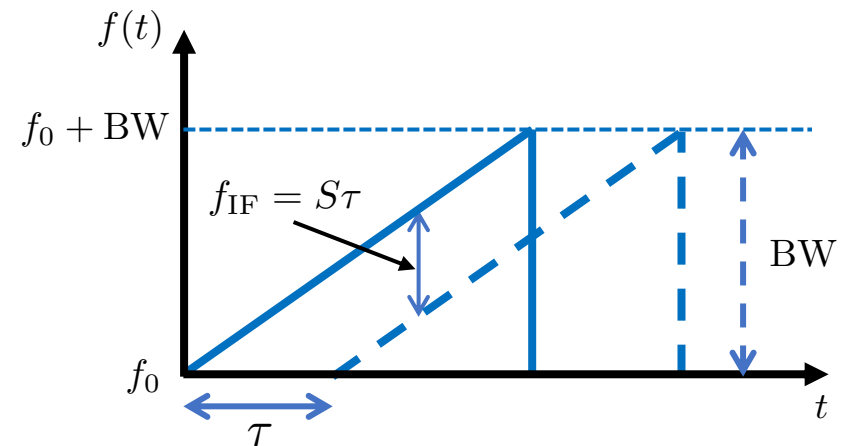
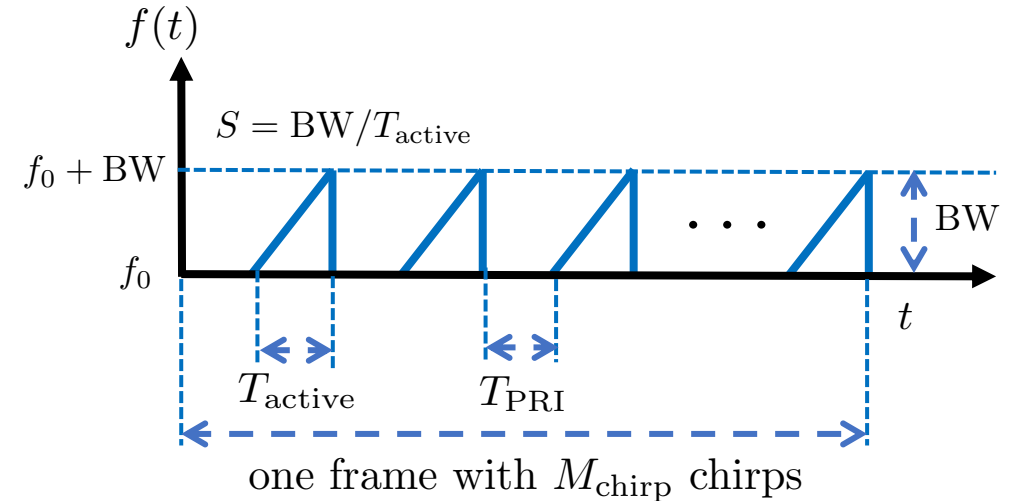
### IF signal of a chirp

$$s_{\text{chirp}}^{\text{rx}}(t) = \sqrt{\mathcal{E}_t \mathcal{E}_r} \exp\left(j2\pi\left[S\tau t + f_0\tau - \frac{S}{2}\tau^2\right]\right)$$

Transmission power gain

Reflection/scattering gain

Round-trip time of sensing signal

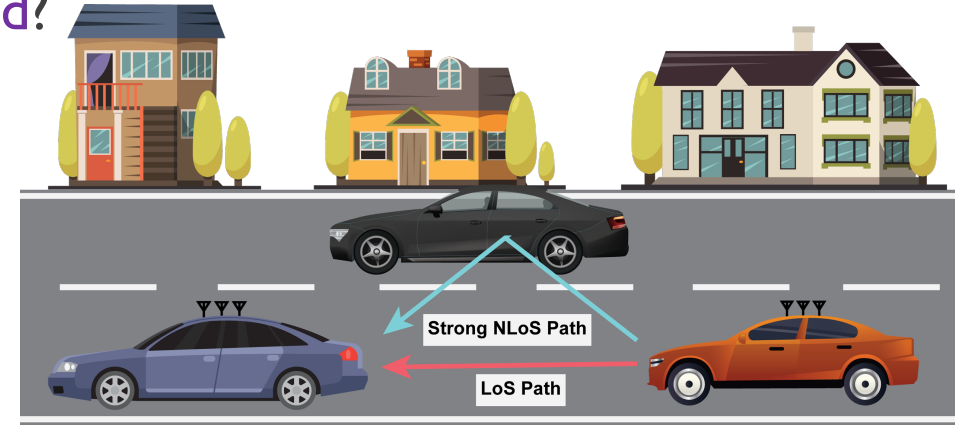


# Radar-aided V2V beam tracking problem

- ▶ In this work, we aim to answer the following questions
  - Can we use **radar sensing for beam tracking** in V2V scenarios?
  - Can the developed algorithms work well in the **real world**?

- ▶ Challenges in the real world

- Multiple objects** in the highly dynamic environment
- Noisy radar data** from the mobile receiver/radar
- Multiple potential directions** of linear arrays



Simplify this challenge

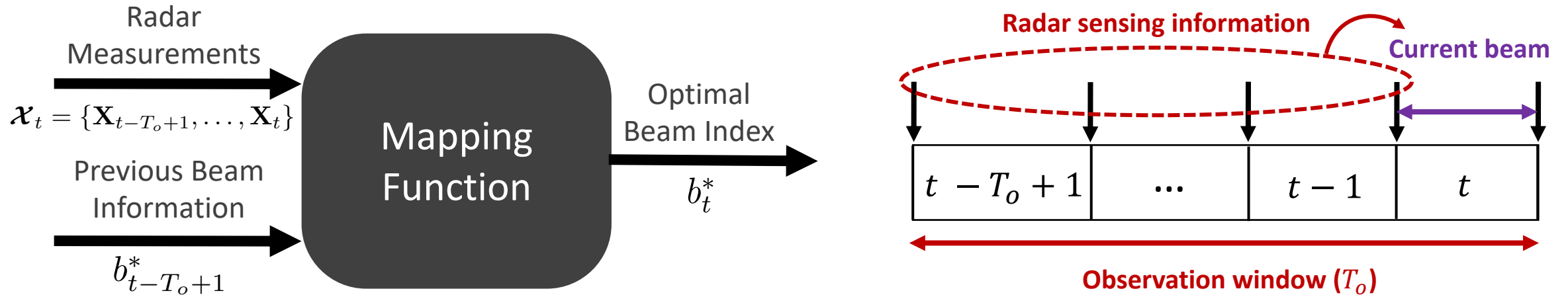
- Focus on the **tracking within a single receive array/radar pair**
- Assume the receive array/radar pair does not change within the sequence of samples

Induce additional difficulty

- The proposed algorithm needs to **accommodate the data from different array/radar pairs**



# Radar-aided V2V beam tracking problem



- ▶ Mapping function – Convert the observed sensing and beam info. into the optimal beam index

$$f_{\Theta}(\mathcal{X}_t, b_{t-T_o+1}^*) = b_t^*$$

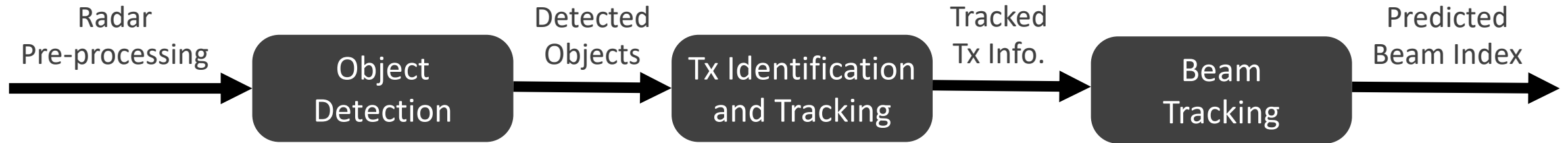
- ▶ Objective – Design a mapping function that targets optimal beam prediction

$$\hat{f}_{\hat{\Theta}} = \arg \max_{f, \Theta} \frac{1}{T} \sum_{t=1}^T \mathbf{1}_{\{b_t^* = f_{\Theta}(\mathcal{X}_t, b_{t-T_o+1}^*)\}}$$

**How can we develop an efficient solution for this problem?**

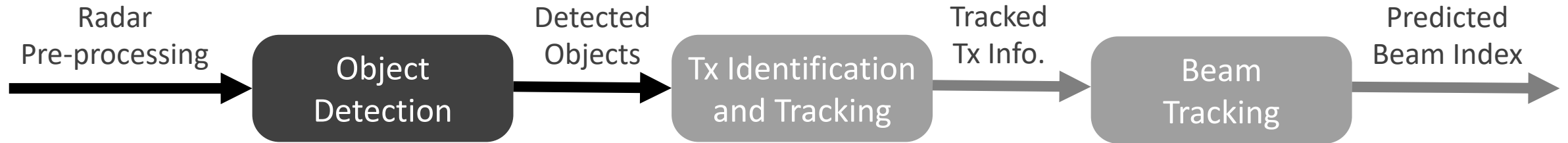
# Approach I: Beam tracking with transmitter identification

## ► Overview



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## ► Overview



## I. Radar pre-processing

Range-Doppler Map

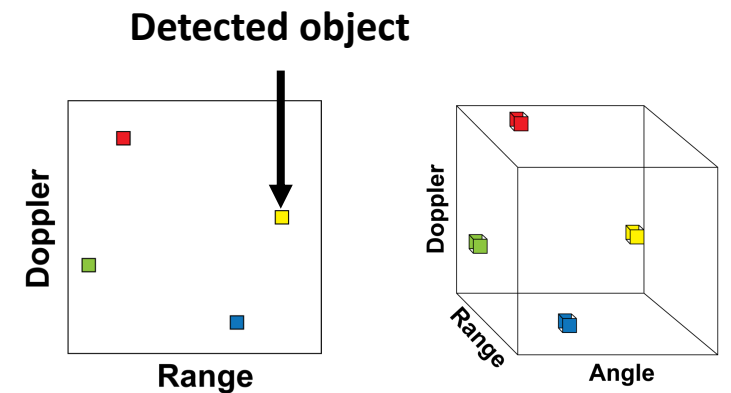
$$\mathbf{H}^{\text{RD}} = \sum_{a=1}^{M_{\text{ant}}} |\mathcal{F}_{2\text{D}}(\mathbf{X}_{a, :, :})|$$

Radar Cube

$$\mathbf{H}^{\text{RC}} = |\mathcal{F}_{3\text{D}}(\mathbf{X})|$$

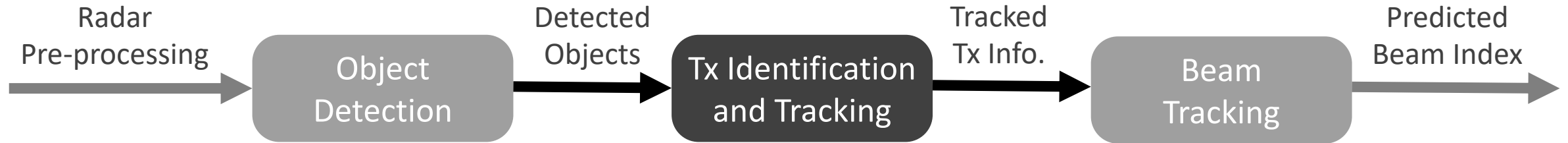
## II. Object detection

- Apply **CFAR method** and **clustering algorithm** to range-Doppler maps
- Estimate the angle from the range and Doppler slice in the radar cube

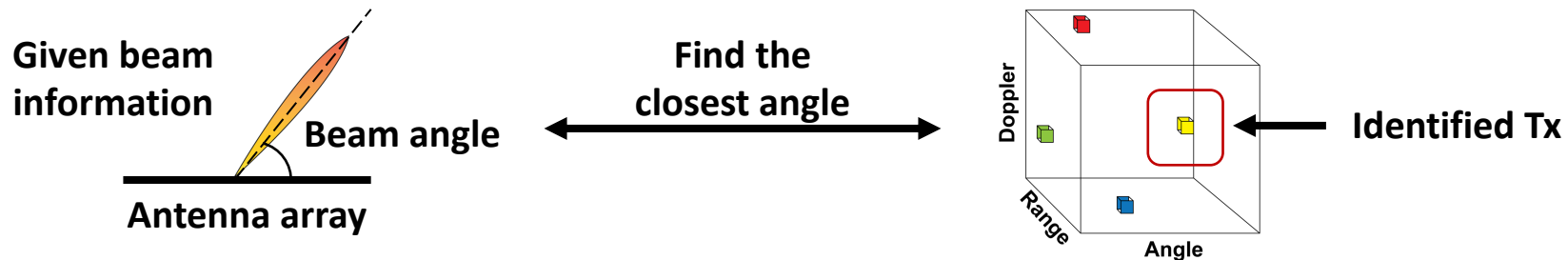


# Approach I: Beam tracking with transmitter identification

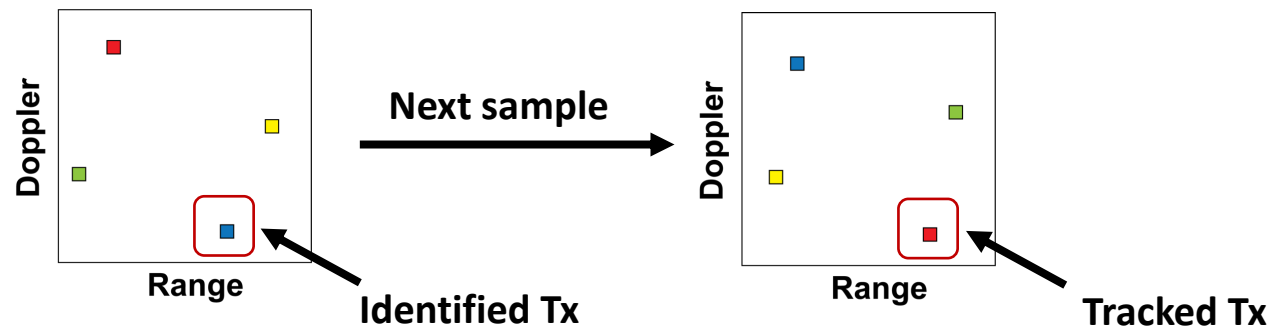
## ► Overview



### III. Transmitter identification with the first radar measurement

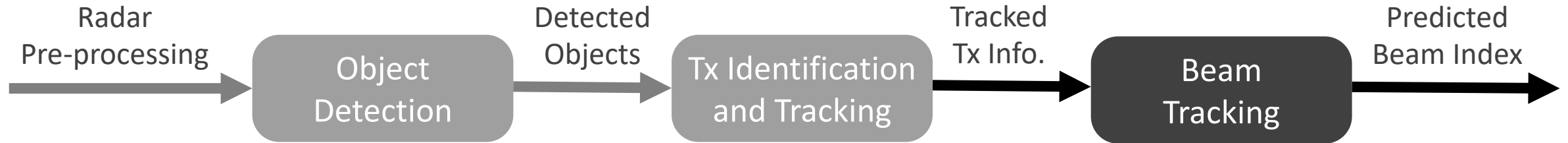


### IV. Transmitter tracking – Find the closest object



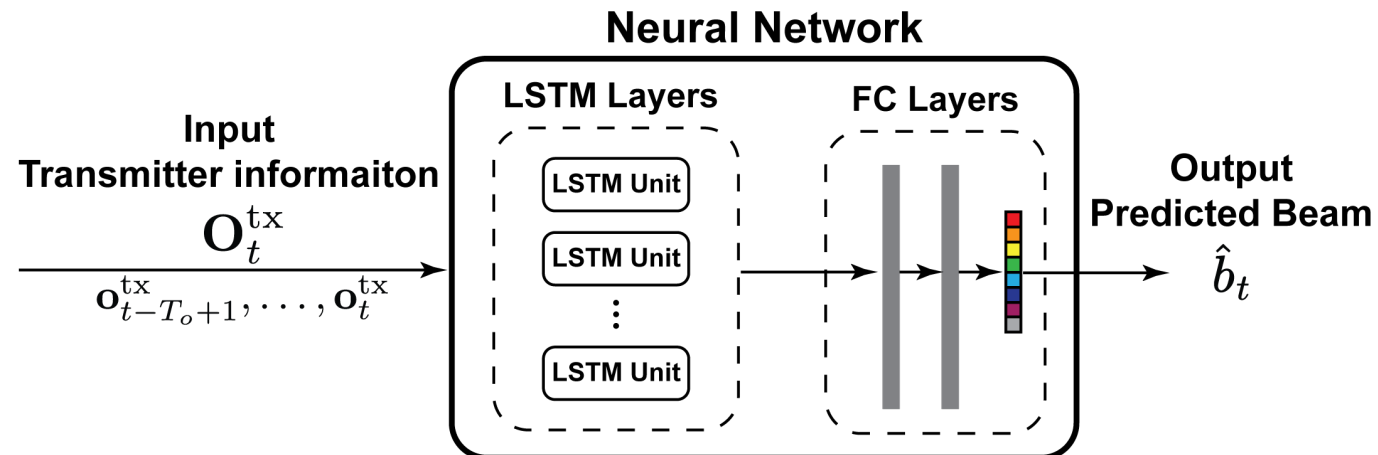
# Approach I: Beam tracking with transmitter identification

## ► Overview



## V. Beam tracking

- **Input:** Tracked transmitter information (range, Doppler, angle)
- **Output:** Prediction of current optimal beam index



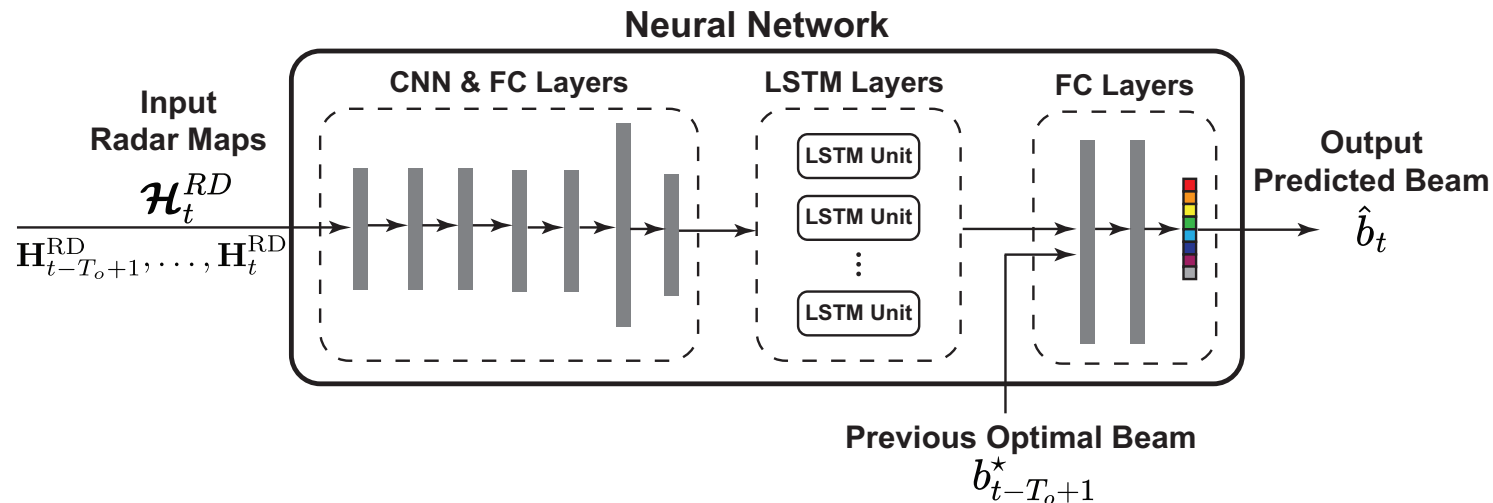
# Approach II: Beam tracking with end-to-end ML

## ► Overview



## ► End-to-end learning

- **Input:** Range-Doppler maps, previous optimal beam index
- **Output:** Prediction of current optimal beam index



# Evaluation setup: DeepSense 6G dataset

## DeepSense 6G Dataset

- ▶ A large scale real-world multi-modal dataset
- ▶ Co-existing sensing and wireless data

## V2V Testbed

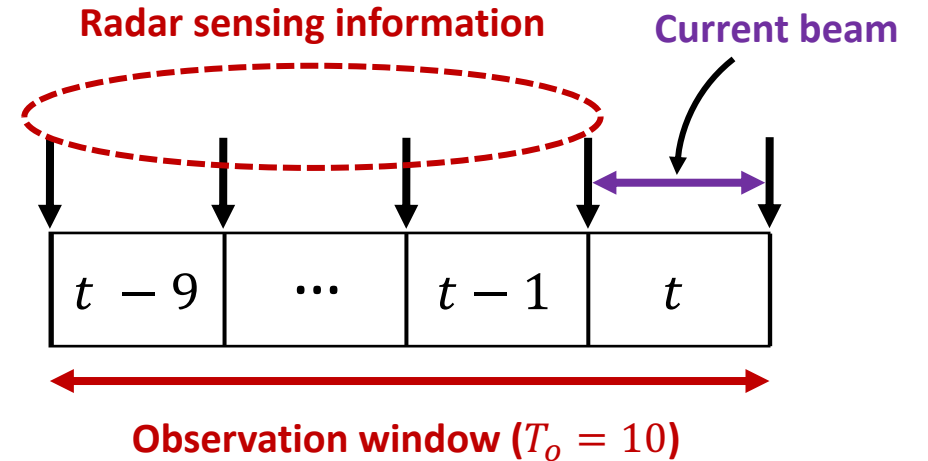
- ▶ Mobile receiver (Unit 1)
  - Four FMCW radars – Each radar employs **one transmit antenna** and **four receive antennas**
  - Four **60GHz** mmWave antenna arrays – Each array has an ULA structure with **16 antennas**
  - Oversampled beamforming codebook with **64 beams**
  - FMCW radars operate at a different frequency band (Starting frequency: **77GHz**) than the communication
- ▶ Mobile transmitter (Unit 2)
  - **60GHz omnidirectional** antenna



# Evaluation setup: AI-ready dataset and metric

## AI-Ready Dataset

- ▶ Max observation window length:  $T_o = 10$
- ▶ Keep the sequences with changing beam indices
- ▶ Number of data sequences: 3649
- ▶ Data split (Train/Test): 70/30%



## Evaluation Metric

- ▶ Top-k accuracy

$$Acc_{\text{top-k}} = \frac{1}{N_{\text{sample}}} \sum_{i=1}^{N_{\text{sample}}} \sum_{j=1}^k \mathbf{1}_{\{b_i^* = \hat{b}_{i,j}\}}$$

Optimal beam index

Predicted beam index with the  $j$  highest confidence score



# Results: Top-k accuracy of beam tracking

## Beam-hold method (Baseline)

- ▶ Previous beam is used as the predicted beam

$$\hat{b}_t = b_{t-T_o+1}^*$$

- ▶  $\pm 1$  and  $\pm 2$  indices are used for top-3 and -5 predictions

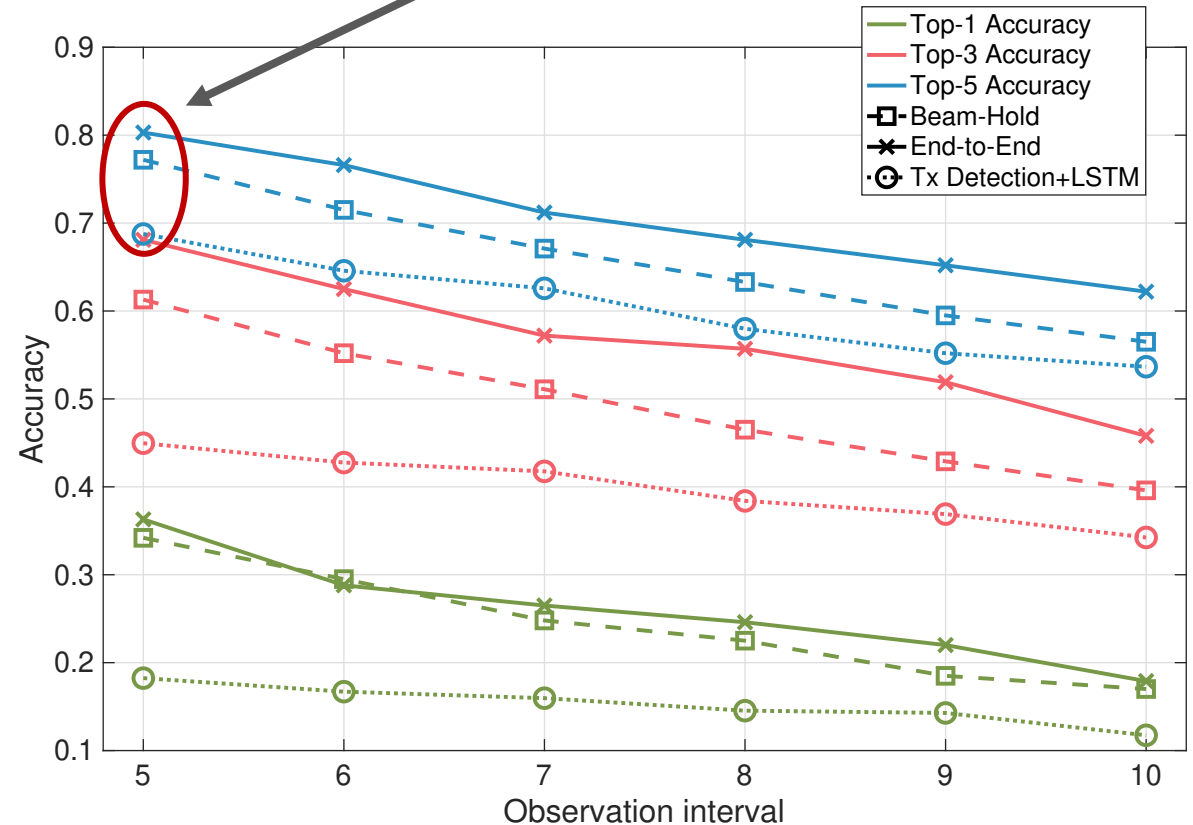
## End-to-end solution

- ▶ Outperform the baseline method
- ▶ Provide gain by using the radar-aided beam tracking

## Transmitter identification based solution

- ▶ Limited by the low angular resolution of the radar

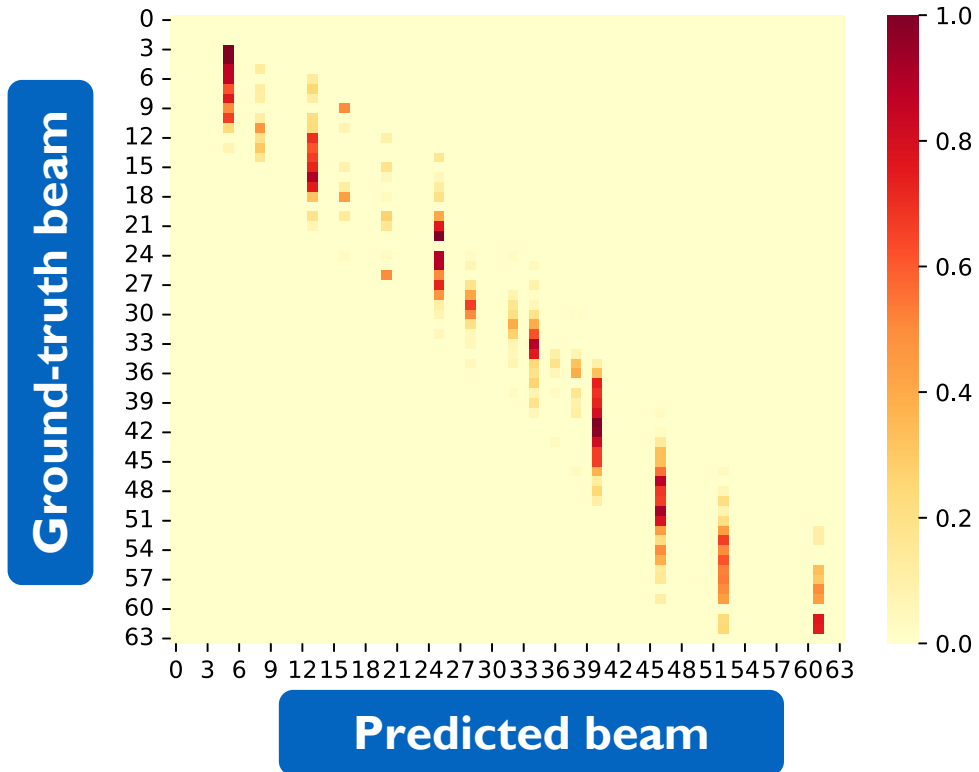
Gain provided by end-to-end solution in top-5 prediction



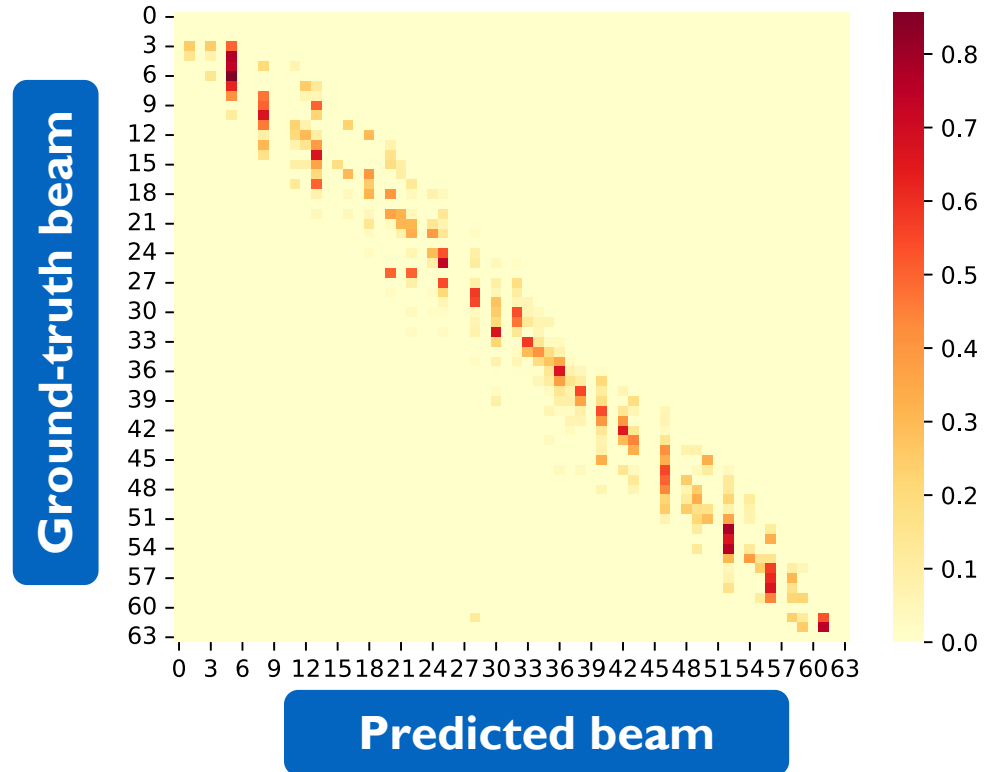
The end-to-end solution shows the potential of radar-aided beam tracking

# Results: Confusion matrix of predictions

Approach I: Transmitter Identification



Approach II: End-to-End Learning



- ▶ The low resolution of the radar causes a bias towards specific bins in the Tx tracking
- ▶ The end-to-end learning refines the given beam index with the radar information

**The end-to-end solution is able to overcome the low radar resolution**

# Conclusions and future work

- ▶ Radar sensing and machine learning can improve the V2V communication
- ▶ Radar-aided beam tracking in V2V scenarios
  - We developed **machine learning based approaches** for beam tracking with radar measurements
  - We evaluated the performance on the data collected with a **real-world V2V testbed**
  - The results highlight the potential of the **end-to-end solution** in radar-aided beam tracking
- ▶ Future work
  - Generalization of the proposed radar-aided beam tracking framework
  - Extension to **multi-modal** sensing-aided beam tracking in V2V scenarios

**The dataset and implementation are available at [deepsense6g.net](https://deepsense6g.net)**

**Thank you**